

KAMARAJ COLLEGE (Autonomous)

Accredited with A+ Grade by NAAC

(Affiliated to Manonmaniam Sundaranar University, Tirunelveli)

(3 Pages)

Reg. No:.....

Question Code: 26E00413

Course Code: 24USCO22/25USCO22/
24USCR22/25USCR22/
24USBE22/25USBE22/
24USBF22/25USBF22

UG Degree - End Semester Examinations, April 2026

Second Semester

B.Com/B.com Corporate Secretaryship/ B.Com Banking E-Commerce/

B.Com Banking and Finance

Stock Market Operations

(For those who joined in July 2024 and June 2025 onwards)

Time: 3Hours

Maximum: 75 Marks

PART - A (10 × 1 = 10 Marks)

Answer ALL Questions

Choose the correct answer:

- CO:1 1. Equity shares are also known as
K:1 (a) Common Stock (b) Ordinary shares
(c) Common shares (d) All of these
- CO:1 2. Bonus shares are issued to
K:2 (a) Equity shareholders (b) Debenture holders
(c) Preference shareholders (d) None of these
- CO:2 3. Corporate securities may be classified into _____ types.
K:1 (a) 2 (b) 3
(c) 4 (d) 5
- CO:2 4. In primary market, corporate can raise funds through
K:2 (a) Public issue (b) Offer for sale
(c) Private placement (d) All of the above
- CO:3 5. _____ is the oldest stock exchange in Asia.
K:1 (a) Chennai (b) Kolkata
(c) Bombay (d) Delhi

- CO:3 6. Internet trading was started in
K:2 (a) 2000 (b) 1997
(c) 1996 (d) 1992
- CO:4 7. The Head office of SEBI is at _____
K:1 (a) Kolkata (b) Mumbai
(c) Chennai (d) Delhi
- CO:4 8. Screen based trading came in the year
K:2 (a) 1990 (b) 1991
(c) 1992 (d) 1993
- CO:5 9. Investors can open a demat account with a _____, who act as a
K:1 intermediary between the inventor and the depository.
(a) Depository participant (b) Bank
(c) Stock exchange (d) SEBI
- CO:5 10. The function of depository is regulated by
K:2 (a) CCI (b) SEBI
(c) RBI (d) Bank

PART - B (5 X 5 = 25 Marks)

Answer ALL Questions choosing either (a) or (b).

Answer should not exceed 250 words.

- CO:1 11. (a) Identify the features of equity shares.
K:3 **(OR)**
(b) Classify the types of risk.
- CO:2 12. (a) Distinguish between the IPO and OFS.
K:4 **(OR)**
(b) Discover the players in the new issue market.
- CO:3 13. (a) List out the objectives of NSE.
K:4 **(OR)**
(b) Analyze secondary market and State its types.
- CO:4 14. (a) Discuss the role of SEBI.
K:3 **(OR)**
(b) Explain the types of intermediaries.
- CO:5 15. (a) Identify the features of demat account.

K:3

(OR)

(b) Explain the advantages of dematerialization.

PART - C (5 X 8 = 40 Marks)

Answer ALL Questions choosing either (a) or (b).

Answer should not exceed 500 words.

CO:1 16. (a) Briefly explain the different types of corporate securities.

K:5

(OR)

(b) Estimate the development of securities in India.

CO:2 17. (a) Analyze the functions of new issue market.

K:5

(OR)

(b) Explain the different methods of flotation of capital.

CO:3 18. (a) Distinguish between Primary Market and Secondary Market.

K:4

(OR)

(b) Classify the different types of brokers.

CO:4 19. (a) Explain the functions of SEBI.

K:5

(OR)

(b) Briefly explain the Securities Contract Regulation Act 1956.

CO:5 20. (a) Analyze the Merits and Demerits of demat trading.

K:4

(OR)

(b) Elucidate the benefits of depository system.