(6 pages) **Reg. No. :**..

Code No. : 12088 E **Sub. Code : ESCO 22**

B.Com. (CBCS) DEGREE EXAMINATION, APRIL 2025.

Second Semester

Commerce

Skill Enhancement Course – STOCK MARKET OPERATIONS

(For those who joined in July 2023 onwards)

Time : Three hours Maximum : 75 marks

PART A — (10 × 1 = 10 marks)

Answer ALL questions.

Choose the correct answer :

1. ———————— Risk is that portion of total risks that is unique, or peculiar to a firm or an industry.

   (a) Systematic risk (b) Unsystematic risk

   (c) Internal risk (d) External risk

2. The return from holding a stock consists of ————————.

   (a) Capital appreciation and dividend

   (b) Capital gains

   (c) Market prices

   (d) Dividend at the beginning

3. Right issues are offered to ————————.

   (a) The existing shareholders

   (b) The promoters of the company

   (c) The public at large

   (d) The employees

4. Stock exchange ————————.

   (a) Helps in the fixation of stock prices

   (b) Ensures safe and fair dealing

   (c) Induces good performance of the company

   (d) Performs all the above functions

5. ———————— consists of Stock exchanges where existing securities are bought and sold.

   (a) Primary market (b) Secondary market

   (c) Territory market (d) All

6. OTCEI is expanded as ————

(a) Over the counter exchange of India

(b) Over the cost exchange in India

(c) Obey the counter exchange of India

(d) Over the counter ensure of India

7. SEBI attained legal status in the year ———— under SEBI Act passed in the Parliament.

(a) 1992 (b) 2002

(c) 2012 (d) 2022

8. To prevent insider trading SEBI has introduced ————.

(a) Broker Trading Act

(b) Insider Trading Act

(c) Intermediaries Act

(d) All

9. ———— is known as dematerialized account.

(a) Saving bank account

(b) Bank account

(c) Current account

(d) Demat account

10. A ———— bank is a financial institution that holds customers' securities in electronic or physical form to minimize the risk of theft or loss.

(a) Depository (b) Custodian

(c) Beneficiary (d) Authority

PART B — (5 × 5 = 25 marks)

Answer ALL questions, choosing either (a) or (b).
Each answer should not exceed 250 words.

11. (a) What do you mean by risk?

Or

(b) Write a note on securities.

12. (a) Expand the term OFS and brief about that.

Or

(b) What are all the documents offered during first issue?

13. (a) Write a short note on internet based trading.

Or

(b) Point out the types of brokers.

14. (a) Write about the investor protection under SEBI.

Or

(b) Brief about SEBI.

15. (a) Write a note on demat account.

Or

(b) State about procedure of opening a demant account.

PART C — (5 × 8 = 40 marks)

Answer ALL questions, choosing either (a) or (b).

Each answer should not exceed 600 words.

16. (a) Discuss the concept of return of investment.

Or

(b) Explain the various types of securities.

17. (a) Delineate the methods of floating.

Or

(b) Elucidate the role of underwriters in primary market.

18. (a) Describe the functions of secondary market.

Or

(b) Enumerate the types of orders.

19. (a) Delineate the History and Development of SEBI.

Or

(b) Briefly explain the listing obligations of SEBI regulation 2015.

20. (a) Explain the role of depositories and custodian of securities in demat trading.

Or

(b) Describe SEBI regulation in demat trading.